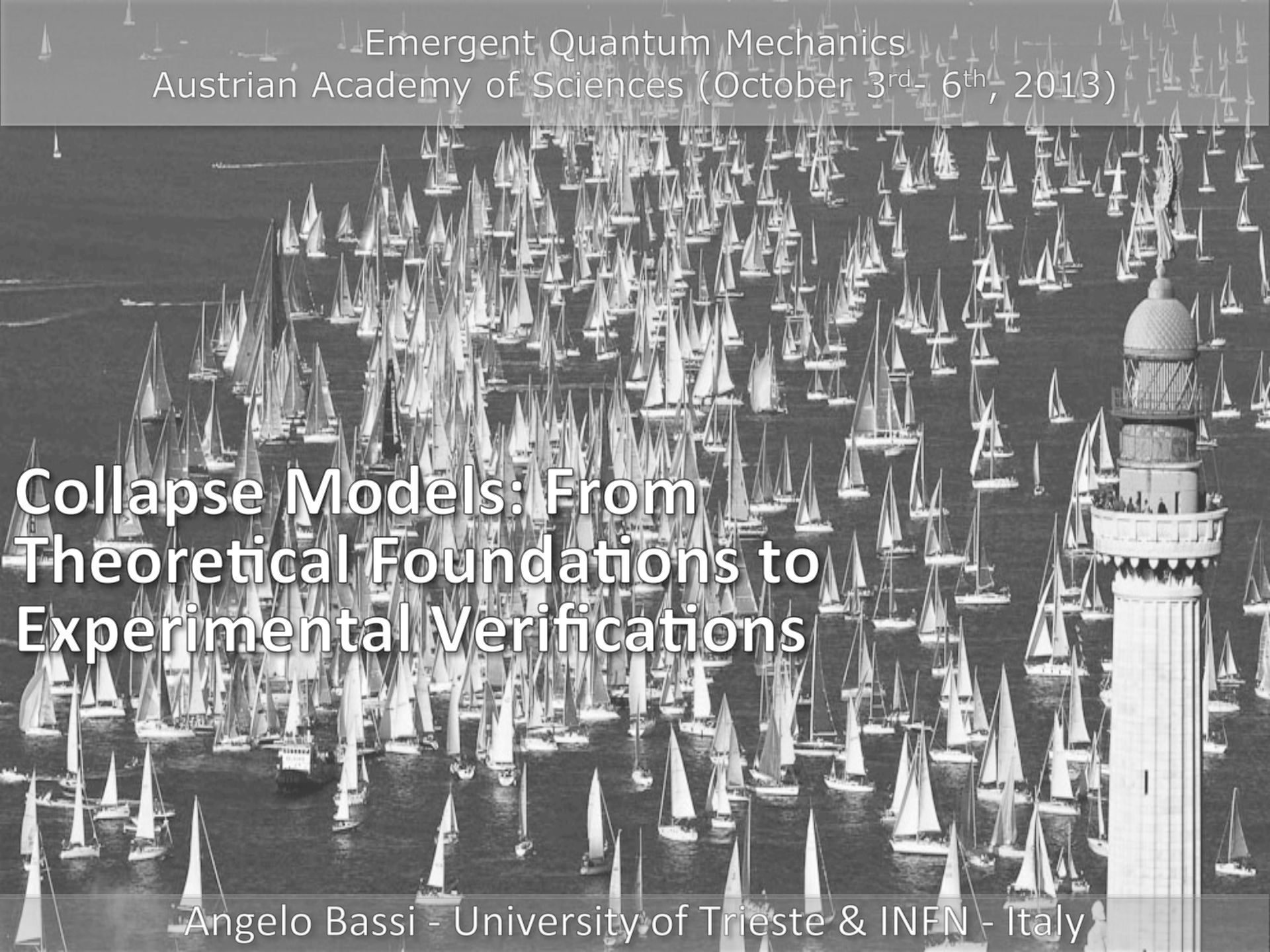


An aerial photograph of a massive sailboat regatta, likely the Trieste Regatta, filling a large body of water. In the lower right foreground, a tall, white, cylindrical lighthouse with a domed lantern room stands prominently. The water is densely packed with hundreds of sailboats of various sizes, their white sails creating a textured pattern against the darker water. The sky is overcast, and the overall scene conveys a sense of a large-scale maritime event.

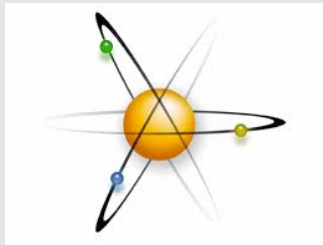
Emergent Quantum Mechanics
Austrian Academy of Sciences (October 3rd- 6th, 2013)

Collapse Models: From Theoretical Foundations to Experimental Verifications

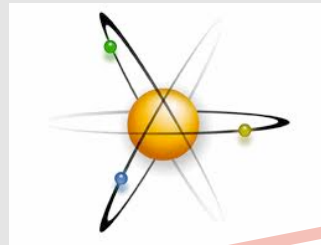
Angelo Bassi - University of Trieste & INFN - Italy

"Collapses occur more or less all the time, more or less everywhere" (J.S. Bell)

The Schrödinger equation is linear $\rightarrow$ superposition principle



+

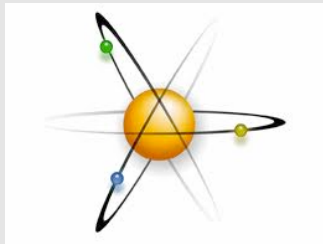


Approved!

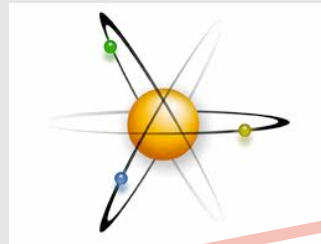


What?

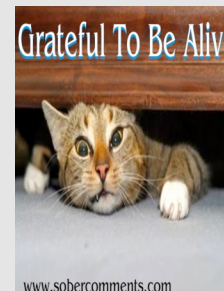
Collapse models $\rightarrow$ no macroscopic superpositions



+



Approved!



OR



Approved!

Collapse models

GRW MODEL: G.C. Ghirardi, A. Rimini and T. Weber, *Phys. Rev. D* 340, 470 (1986)

CSL MODEL: P. Pearle, *Phys. Rev. A* 39, 2277 (1989). G.C. Ghirardi, P. Pearle and A. Rimini, *Phys. Rev. A* 42, 78 (1990)

REVIEW: A. Bassi and G.C. Ghirardi, *Phys. Rept.* 379, 257 (2003)

REVIEW: A. Bassi, K. Lochan, S. Satin, T.P. Singh and H. Ulbricht, *Rev. Mod. Phys.* 85, 471 (2013)

Also: Diosi, Gisin, Adler, Wiseman, Tumulka, Dowker, Bedingham ...

Question: Which form for the modified dynamics (E_t) for the state vector?

Answer: The form of E_t is “uniquely identified” by the no-faster-than-light condition (and norm conservation)

N. Gisin & I.C. Percival, *J. Phys. A* 25, 5677 (1992)

H.M. Wiseman & L. Diosi, *Chem. Phys.* 268, 91 (2001)

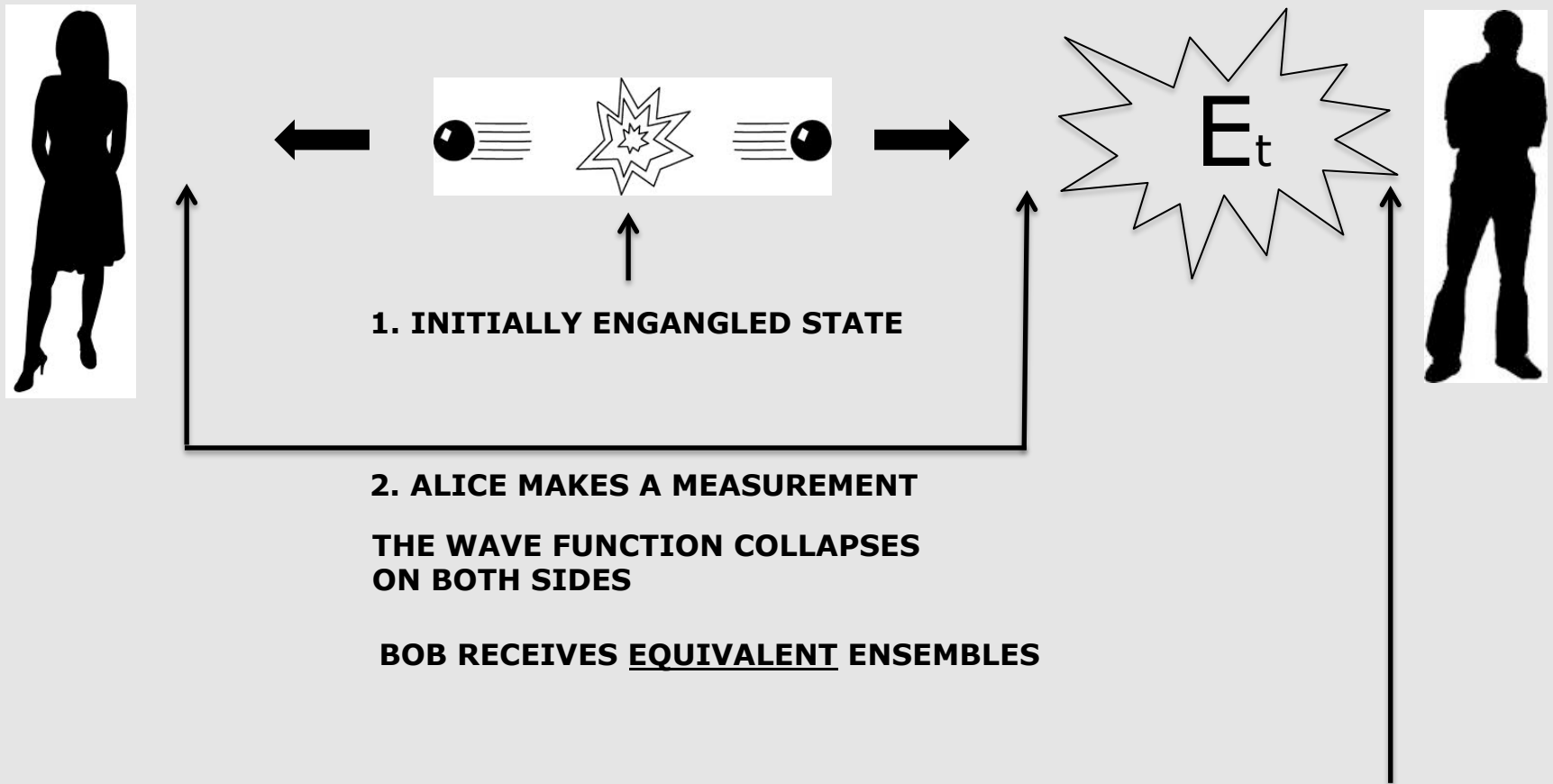
S.L. Adler & T.A. Brun, *J. Phys. A* 34, 1 (2001)

S. Weinberg, *Phys. Rev. A* 85, 062116 (2012). See also: *Ann. Phys.* 194, 336 (1989); *Phys. Rev. Lett.* 62, 485 (1989)

A. Bassi, D. Duerr & G. Hinrichs, ArXiv:1303:4284 (2013)

Gisin's argument

N. Gisin, *Helv. Phys. Acta* 62, 363 (1989)



THE EVOLUTION FOR THE DENSITY MATRIX MUST BE LINEAR
(that for the wave function can be nonlinear, stochastic ...)

**IF THE EQUIVALENCE RELATION IS NOT PRESERVED,
THEN FASTER-THAN-LIGHT SIGNALING**

Consequence 1: Lindblad equation

A. Bassi, D. Duerr & G. Hinrichs, ArXiv:1303:4284 (2013)

1. Linear evolution for the density matrix (no faster-than-light signaling)

2. Markovian assumption

Then the dynamics must be of the Lindblad type

$$\frac{d\rho_t}{dt} = -i[H, \rho_t] + \sum_{k=1}^n \left(L_k \rho_t L_k^\dagger - \frac{1}{2} L_k^\dagger L_k \rho_t - \frac{1}{2} \rho_t L_k^\dagger L_k \right)$$

Consequence 2: Collapse equations

H.M. Wiseman & L. Diosi, *Chem. Phys.* 268, 91 (2001), A. Bassi, D. Duerr & G. Hinrichs, ArXiv:1303:4284 (2013)

Given a generic diffusion for the wave function

$$d\psi_t = A(\psi_t)dt + \sum_{k=1}^N B_k(\psi_t)dW_{k,t},$$

such that

$$\frac{d\rho_t}{dt} = -i[H, \rho_t] + \sum_{k=1}^n \left(L_k \rho_t L_k^\dagger - \frac{1}{2} L_k^\dagger L_k \rho_t - \frac{1}{2} \rho_t L_k^\dagger L_k \right)$$

Then

$$A(\psi) = -iH - \frac{1}{2} \sum_{k=1}^N \left(L_k^\dagger L_k - 2\ell_{k,t}^{(\psi)} L_k^{(\psi)} + |\ell_{k,t}^{(\psi)}|^2 \right)$$

$$B_k(\psi) = L_k^{(\psi)} - \ell_{k,t}^{(\psi)}, \quad \ell_{k,t}^{(\psi)} = \frac{1}{2} \langle \psi_t, (L_k^{(\psi)\dagger} + L_k^{(\psi)}) \psi_t \rangle$$

$$L_k^{(\psi)} := \sum_{j=1}^N u_{kj}(\psi) L_j, \quad L_k^{(\psi)\dagger} := \sum_{j=1}^N u_{kj}^*(\psi) L_j^\dagger$$

The structure is completely defined, the only degrees of freedom being the complex coefficients of the $N \times N$ unitary matrix $u_{kj}(\psi)$.

Which models?

The general structure is

$$d|\psi\rangle_t = \left[-\frac{i}{\hbar} H dt + \sqrt{\lambda} (A - \langle A \rangle_t) dW_t - \frac{\lambda}{2} (A - \langle A \rangle_t)^2 dt \right] |\psi\rangle_t$$

$$\langle A \rangle_t = \langle \psi_t | A | \psi_t \rangle$$



Which kind of operators?

Reasonable assumption: the collapse operators – which identify the “preferred basis”, should be **connected to position**

NOTE: The Born rule comes out automatically

Space-collapse models

White noise models

All frequencies appear with the same weight

Colored noise models

The noise can have an arbitrary spectrum

Infinite temperature models

No dissipative effects

GRW / CSL

G.C. Ghirardi, A. Rimini, T. Weber, *Phys. Rev. D* **34**, 470 (1986)

G.C. Ghirardi, P. Pearle, A. Rimini, *Phys. Rev. A* **42**, 78 (1990)

QMUPL

L. Diosi, *Phys. Rev. A* **40**, 1165 (1989)

Non-Markovian CSL

P. Pearle, in *Perspective in Quantum Reality* (1996)

S.L. Adler & A. Bassi, *Journ. Phys. A* **41**, 395308 (2008). arXiv: 0807.2846

Non-Markovian QMUPL

A. Bassi & L. Ferialdi, *PRL* **103**, 050403 (2009)

Finite temperature models

Dissipation and thermalization

Dissipative QMUPL model

A. Bassi, E. Ippoliti and B. Vacchini, *J. Phys. A* **38**, 8017 (2005). ArXiv: quant-ph/0506083

Non-Markovian & dissipative QMUPL

(L. Ferialdi, A. Bassi, *PRL* **108**, 170404 (2012))

CSL model

P. Pearle, *Phys. Rev. A* 39, 2277 (1989). G.C. Ghirardi, P. Pearle and A. Rimini, *Phys. Rev. A* 42, 78 (1990)

$$d|\psi_t\rangle = \left[-\frac{i}{\hbar} H dt + \sqrt{\lambda} \int d^3x (N(\mathbf{x}) - \langle N(\mathbf{x}) \rangle_t) dW_t(\mathbf{x}) - \frac{\lambda}{2} \int d^3x (N(\mathbf{x}) - \langle N(\mathbf{x}) \rangle_t)^2 dt \right] |\psi_t\rangle$$

System's Hamiltonian

NEW COLLAPSE TERMS



New Physics

$$N(\mathbf{x}) = a^\dagger(\mathbf{x})a(\mathbf{x}) \quad \text{particle density operator}$$

choice of the preferred basis

$$\langle N(\mathbf{x}) \rangle_t = \langle \psi_t | N(\mathbf{x}) | \psi_t \rangle$$

nonlinearity

$$W_t(\mathbf{x}) = \text{noise} \quad \mathbb{E}[W_t(\mathbf{x})] = 0, \quad \mathbb{E}[W_t(\mathbf{x})W_s(\mathbf{y})] = \delta(t-s)e^{-(\alpha/4)(\mathbf{x}-\mathbf{y})^2}$$

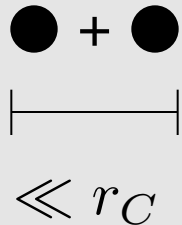
stochasticity

$$\lambda = \text{collapse strength} \quad r_C = 1/\sqrt{\alpha} = \text{correlation length}$$

two parameters

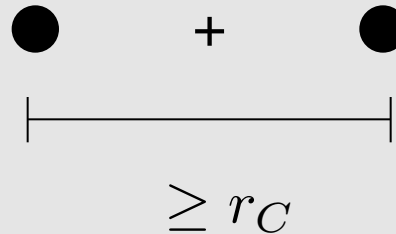
Collapse rate

Small superpositions



Collapse NOT effective

Large superpositions

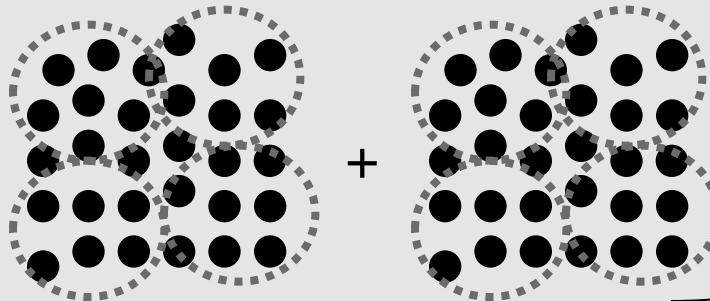


Collapse effective



$$\Gamma = \lambda n^2 N$$

n = number of particles
within r_C
N = number of such
clusters



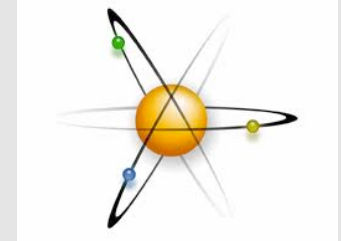
Amplification mechanics

Few particles
no collapse
quantum
behavior

Many particles
Fast collapse
classical
behavior

Which values for λ and r_c ?

Microscopic world (few particles)



$$\lambda \sim 10^{-8 \pm 2} \text{s}^{-1}$$

QUANTUM - CLASSICAL
TRANSITION
(Adler - 2007)

Mesoscopic world Latent image formation + perception in the eye ($\sim 10^4 - 10^5$ particles)



S.L. Adler, JPA 40, 2935 (2007)

A. Bassi, D.A. Deckert & L. Ferialdi, EPL 92, 50006 (2010)

$$\lambda \sim 10^{-17} \text{s}^{-1}$$

QUANTUM - CLASSICAL
TRANSITION
(GRW - 1986)

Macroscopic world ($> 10^{13}$ particles)



G.C. Ghirardi, A. Rimini and T. Weber, PRD 34, 470 (1986)

$$r_C = 1/\sqrt{\alpha} \sim 10^{-5} \text{cm}$$

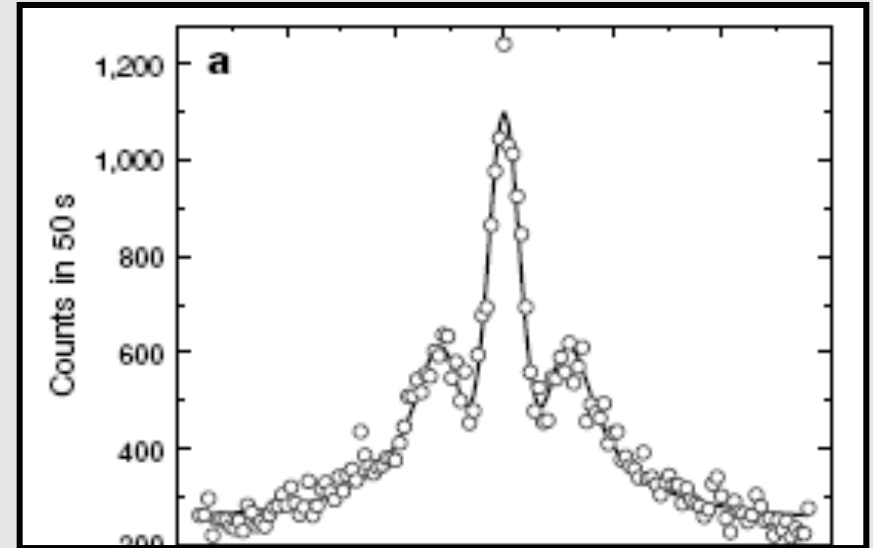
Increasing size of the system

Constraints from Experiments

Matter-wave interferometry

Diffraction of macro-molecules:

- **C₆₀ (720 AMU)**
M. Arndt et al, *Nature* 401, 680 (1999)
- **C₇₀ (840 AMU)**
L. Hackermüller et al, *Nature* 427, 711 (2004)
- **C₃₀H₁₂F₃₀N₂O₄ (1,030 AMU)**
S. Gerlich et al, *Nature Physics* 3, 711 (2007)
- **Larger Molecules (10,000 AMU)**
S. Eibenberger et al. *PCCP* 15, 14696 (2013)



C₆₀ diffraction experiment

The experimental bounds are some 2 orders of magnitude higher than Adler's proposed value (therefore some 10 orders of magnitude away from GRW's proposed value)

Future experiments: $\sim 10^6$ AMU

K. Hornberger et al., *Rev. Mod. Phys.* 84, 157 (2012)
P. Haslinger et al., *Nature Phys.* 9, 144 (2013)

Outer space for higher masses?

ALSO:

Micro-mirrors, nano-spheres

Marshall, W., et al., *Phys. Rev. Lett.* 91, 130401 (2003)
Romero-Isart, O., et al., *Phys. Rev. A* 83, 013803 (2011)

Spontaneous photon emission

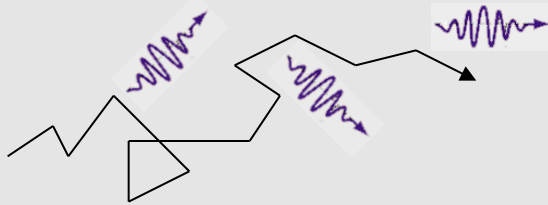
Q. Fu, *PRA* 56, 1806 (1997), S.L. Adler, F. Ramazanoglu, *JPA* 40, 13395 (2007), S.L. Adler, A. Bassi & S. Donadi, *JPA* 46, 245304 (2013) ...

FREE PARTICLE

1. Quantum mechanics

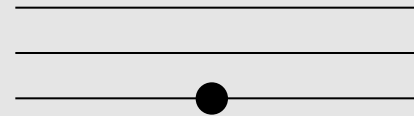


2. Collapse models

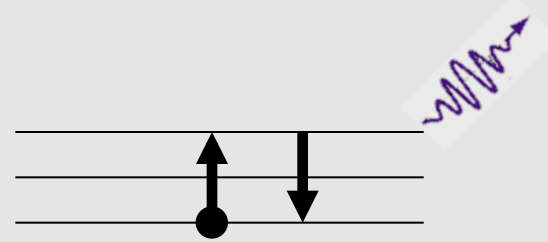


BOUND STATE

1. Quantum mechanics



2. Collapse models



1. One needs to introduce mass proportionality in the model
2. Adler's value for λ is ruled out by 2 orders of magnitude
3. Adler's value for λ comes back in the game if the noise spectrum has cut off

Energy non-conservation

Cosmological observations

The smart thing to do is to look at large structures in the universe.

The larger the system, the bigger the spontaneous-collapse effect.

So far, cosmological data are compatible with collapse models.

Energy non-conservation is very model dependent

S.L. Adler, *Jour. Phys. A* 40, 2935 (2007),
arXiv:quant-ph/0605072

Cosmological data	Distance (orders of magnitude) from <u>GRW</u> value for λ	Distance (orders of magnitude) from <u>Adler's</u> value for λ
Dissociation of cosmic hydrogen	17	9
Heating of the Intergalactic medium (IGM)	8	0
Heating of protons in the universe	12	4
Heating of Interstellar dust grains	15	7

Upper bounds on λ . Summary

Laboratory experiments	Distance (orders of magnitude) from Adler's value for λ	Cosmological data	Distance (orders of magnitude) from Adler's value for λ
Matter-wave interference experiments	2	Dissociation of cosmic hydrogen	9
Decay of supercurrents (SQUIDS)	6	Heating of Intergalactic medium (IGM)	0
Spontaneous X-ray emission from Ge	-2	Heating of protons in the universe	4
Proton decay	10	Heating of Interstellar dust grains	7

S.L. Adler and A. Bassi, *Science* 325, 275 (2009)

Present day technology allows for meaningful tests

One has to be careful in reading the table

Laboratory experiments	Distance (orders of magnitude) from Adler's value for λ	Cosmological data	Distance (orders of magnitude) from Adler's value for λ
Matter-wave interference experiments	2	Dissociation of cosmic hydrogen	9
Decay of supercurrents (SQUIDS)	6	Heating of Intergalactic medium (IGM)	0
Spontaneous X-ray emission from Ge	-2	Heating of protons in the universe	4
Proton decay	10	Heating of Interstellar dust grains	7



It depends on the type of noise



PROBABLY THE MOST RELIABLE

?



They all assume that no dissipative effects take place.

Other possible tests

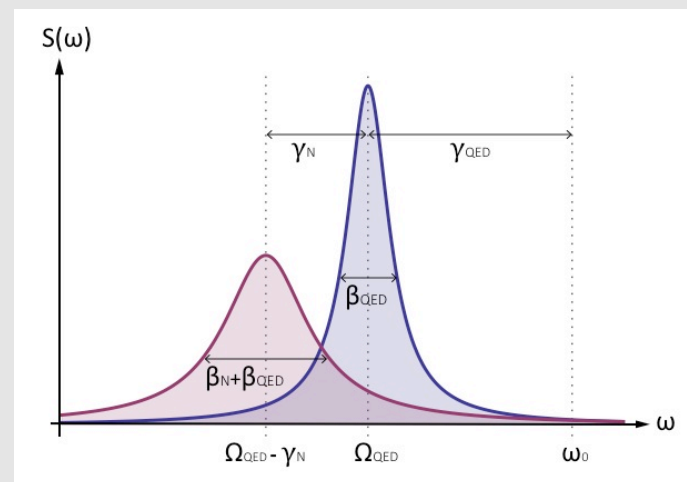
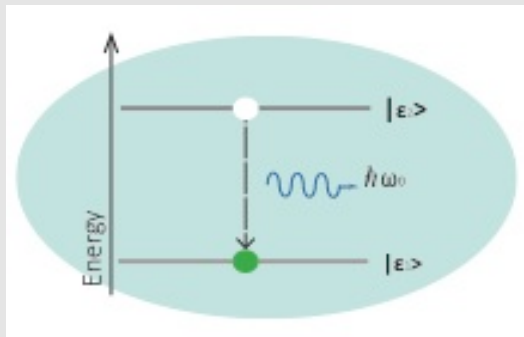
Some quantum systems naturally oscillate: neutrinos, kaons, chiral molecules

They offer natural tests for collapse models CSL predictions

M. Bahrami, S. Donadi, L. Ferialdi, A. Bassi, C. Curceanu, A. Di Domenico, B. Hiesmayr, *Nature Sci. Reports* (2013)

Why not performing experiments in the frequency domain?

M. Bahrami, A. Bassi and H. Ulbricht, *arXiv:1309.5889*



Inflation, cosmic seeds & collapses

Can collapse models explain the emergence of the seeds of cosmic structure?

How does the inflationary history of the universe change, if collapses occur?

- A. Perez, H. Sahlmann and D. Sudarsky, Class. Quant. Grav. 23, 2317 (2006)
- A. De Unanue and D. Sudarsky, Phys. Rev. D 78, 043510 (2008)
- A. Diez-Tejedor, G. Leon and D. Sudarsky, Gen. Rel. Grav. 44, 2965 (2012)
- S. J. Landau, C. G. Scoccola and D. Sudarsky, Phys. Rev. D 85, 123001 (2012)
- J. Martin, V. Vennin and P. Peter, arXiv:1207.2086.
- P. Canate, P. Pearle and D. Sudarsky, arXiv:1211.3463.
- S. Das, K. Lochan, S. Sahu and T. P. Singh, arXiv:1304.5094

Gravity induced collapse?

Quantum fields + gravity (semi-classical limit) + non-relativistic limit

Schrödinger-Newton equation:

$$i\hbar \frac{\partial}{\partial t} \psi(x, t) = \left(-\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} - Gm^2 \int \frac{|\psi(y, t)|^2}{|x - y|} dy \right) \psi(x, t)$$

D. Giulini and A. Grossardt, *Class. Quantum Grav.* 29, 215010 (2012) and references therein

Nonlinear deterministic equation. It collapses the wave function in space (in which precise sense?), but allows for superluminal signaling

Diosi-Penrose model

$$\frac{d}{dt} \hat{\rho} = -\frac{i}{\hbar} [\hat{H}, \hat{\rho}] - \frac{G}{2\hbar} \int \int \frac{d\mathbf{r} d\mathbf{r}'}{|\mathbf{r} - \mathbf{r}'|} [\hat{f}(\mathbf{r}), [\hat{f}(\mathbf{r}'), \hat{\rho}]] \quad \hat{f}(\mathbf{r}) = \frac{M}{V} \theta(R - |\hat{\mathbf{q}} - \mathbf{r}|)$$

L. Diosi, *J. Phys. A* 21, 2885 (1988); *Phys. Lett. A* 129, 419 (1988). R. Penrose, *Gen. Rel. Grav.* 28, 581 (1996)

Good collapse equation. However it diverges. A (large) cutoff is needed

Acknowledgements

THE GROUP

- Postdocs: M. Bahrami, A. Smirne, A. Grossardt
- Ph.D. students: S. Donadi, G. Gasbarri
- Graduate students: M. Toros, A. Codutti, M Carlesso



www.equantum.eu



www.infn.it



www.nanoquestfit.eu

JOHN TEMPLETON FOUNDATION
SUPPORTING SCIENCE ~ INVESTING IN THE BIG QUESTIONS

www.templeton.org